

BA Securities, Inc.

Trading Participant: Philippine Stock Exchange
 Address: Room 401-403 CLMC Bldg.
 259-269 EDSA Mandaluyong City

CUSTOMER ACCOUNT INFORMATION FORM

Account Type: Cash Discretionary
 Client Type: Individual Corporation

ACCOUNT NAME: _____ Account Code: _____

Residence Address				
Business Address				
BusinessTel No.	Home Tel. No.	Fax Number	E-Mail Address	Mobile No.
Date of Birth	Place of Birth	Sex () Female () Male	Civil Status	Name of spouse
Nationality	SSS/GSIS No.	TIN	SEC Registration No.	Nature of Work/Business
A.C.R. No. (if applicable)		Name of Attorney-in-Fact (if applicable)		
Employer		Occupation	Is Employer a broker/dealer? Yes <input type="checkbox"/> No <input type="checkbox"/>	
Officer, Director of an Exchange-listed company? <input type="checkbox"/> Yes <input type="checkbox"/> No		If yes, identify the company		
Officer, Director of Shareholder of broker / dealer? <input type="checkbox"/> Yes <input type="checkbox"/> No		If yes, identify the broker/dealer & describe relationship		
Sources of Funds Employment <input type="checkbox"/> Business <input type="checkbox"/> Savings <input type="checkbox"/> Inheritance <input type="checkbox"/> Donation <input type="checkbox"/>	Investment Objective Growth <input type="checkbox"/> Speculation <input type="checkbox"/> Long term <input type="checkbox"/> Preserve Capital <input type="checkbox"/>	Annual Income < P1M <input type="checkbox"/> P1M < P5M <input type="checkbox"/> P5M up <input type="checkbox"/>	Assets < P1M <input type="checkbox"/> P1M < P5M <input type="checkbox"/> P5M up <input type="checkbox"/>	Net Worth < P1M <input type="checkbox"/> P1M < P5M <input type="checkbox"/> P5M up <input type="checkbox"/>
Customer's Bank		Mode of Confirmation Delivery		How long known by BA rep?
Preferred Mailing Address <input type="checkbox"/> Residence Office/Business <input type="checkbox"/>		Fax <input type="checkbox"/> E-Mail <input type="checkbox"/> Courier <input type="checkbox"/> Pick up <input type="checkbox"/>		Customer referred by:

Names of personnel authorized to transact business for and on behalf of the company:

Name	Position	Signature
a. _____	_____	_____
b. _____	_____	_____
c. _____	_____	_____

any one signature any two jointly or by group as described

FOR DISCRETIONARY ACCOUNT

This is to signify confirmation to a Discretionary Account Arrangement

Name and signature of Client	Name and signature of authorized person to handle the Discretionary Account
Date Discretion is granted	

Registration, Settlement and Other Instructions:

**Purchased shares of stocks are to be registered in the name of _____
or otherwise**

- kept with BA Securities, Inc. under its Nominee Account with the PDTC
- delivered to Client's account with PDTC _____
- delivered to custodian bank's account with PDTC _____
- other instructions (may use another sheet)

Sales proceeds and other cash payments are to be delivered to

- Residence Office/Business
- Bank Account No. _____
- Other instructions as requested

Requirements:

For Individual Accounts:

- Two valid IDs with photo and signature issued by government entities i.e . . . SSS/GSIS/TIN/Driver's License/Passport

For Corporate Accounts

- Articles of Incorporation and By-Laws
Duly signed "**certified true copy**" by the authorized signatory of the Corporation
- Notarized Board Resolution/Secretary's Certificate authorizing the Corporation to purchase and sell shares of stocks with BA Securities, Inc. and the designated signatories
- Specimen Signature Card of each signatory
- Photocopy of two (2) valid IDs of the authorized signatory
- Latest annual report /Audited Financial Statements

FOR BA SECURITIES, INC. USE ONLY

Commission Rate _____
Minimum Commission _____
Initial Deposit _____

Introduced/Referred by:	Agent's Name & Signature/Agent Code:
Reviewed by: Associated Person	Approved by: Authorized Signatory

Date Opened: _____

REMARKS

